

Effects of Sodium-Glucose Cotransporter Inhibitor Use in Type 2 Diabetes Mellitus Patients With Heart Failure

Received 09/23/2022

Review began 11/29/2022

Review ended 12/23/2022

Published 02/06/2023

© Copyright 2023

Choday et al. This is an open access article distributed under the terms of the Creative Commons Attribution License CC-BY 4.0., which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

Silpa Choday ¹, Niriksha Ravi ², Anusha Parisapogu ³, Blessing T. Ojinna ^{4, 5}, Mingma L. Sherpa ²

1. Internal Medicine, California Institute of Behavioral Neurosciences & Psychology, Fairfield, USA 2. Internal Medicine and Neurology, California Institute of Behavioral Neurosciences & Psychology, Fairfield, USA 3. Infectious Diseases, Mayo Clinic, Rochester, USA 4. Internal Medicine and Pediatrics, California Institute of Behavioral Neurosciences & Psychology, Fairfield, USA 5. General Medicine, University of Nigeria Nsukka, College of Medicine, Enugu, NGA

Corresponding author: Silpa Choday, ushilpa19@gmail.com

Abstract

The advances in the development of sodium-glucose cotransporter 2 inhibitors (SGLT2i) have expanded the variety of favorable approaches to treating diabetes mellitus. It is possible to have an improvement in insulin resistance and natriuresis by inhibiting the reabsorption of sodium and glucose at the proximal tubules in the kidney, and a decrease in cardiovascular mortality in patients with diabetes mellitus (DM). In addition, SGLT2i provides renoprotection by reducing intraglomerular higher blood pressure. The usage of SGLT2i also provides hemodynamic and metabolic benefits. SGLT2i demonstrates large cardiovascular benefits in patients both with and without diabetes, as well as in existing heart failure patients. These SGLT2i have direct and indirect effects on the kidney, likely contributing to stated cardiovascular benefits. Here we review the literature on the direct effects of SGLT2 inhibitors in diabetic patients with heart failure (HF). We assume that the benefit in cardiac cells modulated by SGLT2i is due to the inhibition of sodium transporters affecting intracellular sodium homeostasis. In conclusion, the sodium transporters in cardiac cells provide, at least partly, an example of the clinical benefits of SGLT2i observed in HF patients.

Categories: Cardiology, Endocrinology/Diabetes/Metabolism, Internal Medicine

Keywords: gliflozins, sgl2 inhibitors, high blood glucose, insulin resistance, diabetes mellitus, cardiac insufficiency, reduced ejection fraction, heart failure

Introduction And Background

Type 2 diabetes mellitus (T2DM) is very common in the United States of America (USA). More than 30 million people in the USA live with T2DM and its prevalence is expected to rise every year. Diabetes is a leading cause of chronic kidney disease and end-stage renal disease (ESRD) which is observed to have a greater mortality risk [1]. T2DM is associated with a significant risk for renal and cardiovascular diseases including heart failure (HF) [2]. The complications of DM are related to microvascular and macrovascular disorders such as impaired renal function and volume overload. Patients with T2DM are at great risk of developing HF. In large-scale clinical trials, it has been shown that sodium-glucose 2 transport inhibitors (SGLTi) reduce the risk of HF events with existing cardiovascular disease or other risk factors, though it is not clear whether the benefits are observed among the broad spectrum of patients with HF; this includes cases of HF with preserved ejection fraction (HFpEF) and reduced ejection fraction (HFrEF) [2].

The introduction of sodium-glucose cotransporter 2 (SGLT2) inhibitors has shown a reduction in hospitalizations for HF patients in the CANVAS [3] and EMPA-REG OUTCOME trials [4]. Currently, a large-scale study is ongoing regarding SGLT2 inhibitors in chronic heart failure patients, and it is expected that they play a vital role in the management of HF [5].

The observation of increased HF rates with thiazolidinediones and their withdrawal from the market increased the trials of the new agents for cardiovascular safety, especially for HF patients [6]. Two new classes of drugs glucagon-like peptide 1 receptor agonists (GLP1Ra) and SGLT2 inhibitors might change the trajectory of lethal synergy for T2DM and HF. While both classes show comparable benefits on cardiovascular outcomes, their impact on HF events is different [7]. The effects of SGLT2i on cardiac cells target the pathologic mechanism of HF with cardiomyopathy [8]. This review summarizes the current knowledge of the direct effects of SGLT2 inhibitors on HF.

Review

SGLT2 inhibitors and diuretic activity

SGLT2 inhibitors have proven effective in reducing cardiac congestion without worsening kidney function in acute decompensated HF with reduced ejection fraction [8]. A reduction in cardiac preload has been noticed

How to cite this article

Choday S, Ravi N, Parisapogu A, et al. (February 06, 2023) Effects of Sodium-Glucose Cotransporter Inhibitor Use in Type 2 Diabetes Mellitus Patients With Heart Failure. Cureus 15(2): e34687. DOI 10.7759/cureus.34687

with the use of the SGLT2 inhibitor's natriuretic effect. In the proximal tubule where is the reabsorption of sodium and glucose, they both are co-transported in the ratio of 1:1. With SGLT2 inhibitors, higher sodium reaches the distal tubule, causing an increase in sodium excretion, which in turn activates the renin-angiotensin-aldosterone system (RAAS) [9]. So, the reduction in plasma volume appears to be transient which can be detected at one week but not after 12 weeks [10]. A combination of SGLT2 inhibitors with a loop diuretic may result in reducing the interstitial volume compared with intravascular volume, thus resulting in less compensatory activation of RAAS [11]. It is suggested that the physicians monitor the volume status of the patients after initiation of SGLT2 inhibitors and decrease the dosing of loop diuretics in case dizziness or orthostatic hypotension is encountered [12].

SGLT inhibitors in heart failure with a reduced ejection fraction

Recent guidelines 2022 released for the management of heart failure recommendations present an evidence-based approach to managing patients with heart failure, with the intent to improve the quality of care and align with patients' interests [13]. The guidelines mentioned in the year 2022 recommend the use of SGLT2 cotransporters in the management of hyperglycemia and decrease the mortality and morbidity related to HF in patients who suffer from T2DM and HF [13] based on EMPEROR-Reduced [14], DAPA-HF [15], and DECLARE-TIMI 58 [16]. In other terms, they only reduce cardiovascular consequences in T2DM and heart failure with reduced ejection fraction. Dapagliflozin and canagliflozin improve surrogate endpoints in patients with HF and diabetes with a preserved ejection fraction of $> 45\%$ [17,18]; "EMPEROR-Preserved released that empagliflozin reduces the combined risk of cardiovascular death or hospitalization for heart failure in patients with heart failure with preserved ejection fraction (more than 40%), regardless of the presence or absence of diabetes" [19].

SGLT inhibitors in HF with a preserved ejection fraction

Gliflozins like empagliflozin and dapagliflozin have been shown to be effective in patients who experience symptomatic HF with reduced ejection fraction independent of T2DM [20]. Current therapies for patients with HF with preserved ejection fraction are limited and no drugs have proven effective yet. A meta-analysis-based study with limited data of VERTIS CV and DECLARE-TMI 58 trials has suggested some effects of SGLT2 inhibitors on HF hospitalizations in HF with preserved ejection fraction [21]. The study did not include a significant number of patients with HF with preserved ejection fraction in its inclusion criteria. The DELIVER trial studies the effects of dapagliflozin in patients with HF with preserved ejection fraction (with "EF $> 40\%$, structural heart disease, increased NT-pro BNP levels, NYHA II-IV") on cardiovascular death or HF events. Consequently, the EMPEROR-Preserved trial studies the effect of empagliflozin in patients with HF with preserved ejection fraction on cardiovascular death or hospitalization due to HF. Both clinical trials will be of greatest importance, as HF with preserved ejection fraction does not have enough evidence and unmet need in the field of cardiology [22].

SGLT2 inhibitors in HF

The efficacy of dapagliflozin in HF with reduced EF was first studied in the DAPA-HF trial which includes patients with T2DM and without T2DM in addition to worsening HF and cardiovascular death [23]. Dapagliflozin has been shown to reduce the outcome of worsening HF and death by 26%, but it caused an increase in all-cause mortality and reduced symptoms of HF symptoms which was assessed by the Kansas City Cardiomyopathy Questionnaire [23]. In another trial of EMPEROR study with HFrEF, the medication empagliflozin has been shown to reduce mortality from cardiovascular causes and hospitalization for HF [14]. In both trials, SGLT2i has significantly decreased unfavorable renal outcomes: "a recent meta-analysis combining DAPA-HF and EMPEROR-Reduced clearly indicated a consistent reduction of all-cause mortality in respective HF patients" [21].

Glomerular hyperfiltration in diabetes

The kidney is one of the crucial organs for glucose homeostasis [24]. The proximal tubular cells contain sodium-glucose cotransporters, and they filter sodium and glucose and reabsorb 90% of the glucose [25]. SGLT2 expression increases up to 80% in diabetes mellitus. Diabetes can involve structural and functional modifications to the renal cells and tubules. The first phase in diabetic patients is glomerular hyperfiltration [26]. An excessive increase in the GFR downregulates the tubular glomerular feedback [27]. There is a significant increase in sodium reabsorption which is responsible for a rise in blood pressure [28]. The increase in reabsorption of glucose increases the activity of SGLT2 transporters which in turn worsens glycemic control and also promotes the loading of sodium which impairs blood pressure control [29]. One of the benefits of SGLT2i is they downregulate sodium glucose transporters and decrease the uptake of sodium, which lowers both the reabsorption of sodium and glucose. As less sodium is reabsorbed, there will be an improvement in the retention of fluid and also the status of increased glucose level [30].

Conclusions

SGLT2 inhibitors have demonstrated improved outcomes in patients with cardiovascular and renal issues with type 2 diabetes mellitus. It reduces the rate of hospitalization for heart failure patients. Studies have shown to prioritize prescribing SGLT2i in patients with T2DM and have been recognized as a new therapy in

patients with or without T2DM and with HFrEF. According to the guidelines, SGLT2i dapagliflozin has been recently approved for the treatment of HFrEF.

Additional Information

Disclosures

Conflicts of interest: In compliance with the ICMJE uniform disclosure form, all authors declare the following: **Payment/services info:** All authors have declared that no financial support was received from any organization for the submitted work. **Financial relationships:** All authors have declared that they have no financial relationships at present or within the previous three years with any organizations that might have an interest in the submitted work. **Other relationships:** All authors have declared that there are no other relationships or activities that could appear to have influenced the submitted work.

References

1. Toyama T, Neuen BL, Jun M, et al.: Effect of SGLT2 inhibitors on cardiovascular, renal and safety outcomes in patients with type 2 diabetes mellitus and chronic kidney disease: A systematic review and meta-analysis. *Diabetes Obes Metab*. 2019, 21:1237-50. [10.1111/dom.13648](#)
2. Rådholm K, Figtree G, Perkovic V, et al.: Canagliflozin and heart failure in type 2 diabetes mellitus: results from the CANVAS program. *Circulation*. 2018, 138:458-68. [10.1161/CIRCULATIONAHA.118.034222](#)
3. Neal B, Perkovic V, Mahaffey KW, et al.: Canagliflozin and cardiovascular and renal events in type 2 diabetes. *N Engl J Med*. 2017, 377:644-57. [10.1056/NEJMoa1611925](#)
4. Fitchett D, Zinman B, Wanner C, et al.: Heart failure outcomes with empagliflozin in patients with type 2 diabetes at high cardiovascular risk: results of the EMPA-REG OUTCOME® trial. *Eur Heart J*. 2016, 37:1526-34. [10.1093/eurheartj/ehv728](#)
5. Butler J, Hamo CE, Filippatos G, et al.: The potential role and rationale for treatment of heart failure with sodium-glucose co-transporter 2 inhibitors. *Eur J Heart Fail*. 2017, 19:1390-400. [10.1002/ehf.933](#)
6. Nesti L, Natali A: Metformin effects on the heart and the cardiovascular system: A review of experimental and clinical data. *Nutr Metab Cardiovasc Dis*. 2017, 27:657-69. [10.1016/j.numecd.2017.04.009](#)
7. Natali A, Nesti L, Tricò D, Ferrannini E: Effects of GLP-1 receptor agonists and SGLT-2 inhibitors on cardiac structure and function: a narrative review of clinical evidence. *Cardiovasc Diabetol*. 2021, 20:196. [10.1186/s12933-021-01385-5](#)
8. Tamaki S, Yamada T, Watanabe T, et al.: Effect of empagliflozin as an add-on therapy on decongestion and renal function in patients with diabetes hospitalized for acute decompensated heart failure: a prospective randomized controlled study. *Circ Heart Fail*. 2021, 14:e007048. [10.1161/CIRCHEARTFAILURE.120.007048](#)
9. Ansary TM, Nakano D, Nishiyama A: Diuretic effects of sodium glucose cotransporter 2 inhibitors and their influence on the renin-angiotensin system. *Int J Mol Sci*. 2019, 20:629. [10.3390/ijms20030629](#)
10. Sha S, Polidori D, Heise T, et al.: Effect of the sodium glucose co-transporter 2 inhibitor canagliflozin on plasma volume in patients with type 2 diabetes mellitus. *Diabetes Obes Metab*. 2014, 16:1087-95. [10.1111/dom.12322](#)
11. Hallow KM, Helmlinger G, Greasley PJ, McMurray JJ, Boulton DW: Why do SGLT2 inhibitors reduce heart failure hospitalization? A differential volume regulation hypothesis. *Diabetes Obes Metab*. 2018, 20:479-87. [10.1111/dom.13126](#)
12. Cherney DZ, Udell JA: Use of sodium glucose cotransporter 2 inhibitors in the hands of cardiologists: with great power comes great responsibility. *Circulation*. 2016, 134:1915-7. [10.1161/CIRCULATIONAHA.116.024764](#)
13. Heidenreich PA, Bozkurt B, Aguilar D, et al.: 2022 AHA/ACC/HFSA guideline for the management of heart failure: a report of the American College of Cardiology/American Heart Association Joint Committee on Clinical Practice Guidelines. *J Am Coll Cardiol*. 2022, 79:e263-421. [10.1016/j.jacc.2021.12.012](#)
14. Packer M, Anker SD, Butler J, et al.: Cardiovascular and renal outcomes with empagliflozin in heart failure. *N Engl J Med*. 2020, 383:1413-24. [10.1056/NEJMoa2022190](#)
15. Schulze PC, Wu JM: Ketone bodies for the starving heart. *Nat Metab*. 2020, 2:1183-5. [10.1038/s42255-020-00310-6](#)
16. Kato ET, Silverman MG, Mosenzon O, et al.: Effect of dapagliflozin on heart failure and mortality in type 2 diabetes mellitus. *Circulation*. 2019, 139:2528-36. [10.1161/CIRCULATIONAHA.119.040130](#)
17. Nassif ME, Windsor SL, Borlaug BA, et al.: The SGLT2 inhibitor dapagliflozin in heart failure with preserved ejection fraction: a multicenter randomized trial. *Nat Med*. 2021, 27:1954-60. [10.1038/s41591-021-01536-x](#)
18. Spertus JA, Birmingham MC, Nassif M, et al.: The SGLT2 inhibitor canagliflozin in heart failure: the CHIEF-HF remote, patient-centered randomized trial. *Nat Med*. 2022, 28:809-13. [10.1038/s41591-022-01703-8](#)
19. Anker SD, Butler J, Filippatos G, et al.: Empagliflozin in heart failure with a preserved ejection fraction. *N Engl J Med*. 2021, 385:1451-61. [10.1056/NEJMoa2107038](#)
20. Murphy SP, Ibrahim NE, Januzzi JL Jr: Heart failure with reduced ejection fraction: a review. *JAMA*. 2020, 324:488-504. [10.1001/jama.2020.10262](#)
21. Butler J, Usman MS, Khan MS, et al.: Efficacy and safety of SGLT2 inhibitors in heart failure: systematic review and meta-analysis. *ESC Heart Fail*. 2020, 7:3298-309. [10.1002/ehf2.13169](#)
22. Pabel S, Hamdani N, Luedde M, Sossalla S: SGLT2 Inhibitors and Their Mode of Action in Heart Failure-Has the Mystery Been Unravelling? *Curr Heart Fail Rep*. 2021, 18:315-28. [10.1007/s11897-021-00529-8](#)
23. Mather A, Pollock C: Glucose handling by the kidney. *Kidney Int Suppl*. 2011, S1-6. [10.1038/ki.2010.509](#)
24. Hou YC, Zheng CM, Yen TH, Lu KC: Molecular mechanisms of sgl2 inhibitor on cardiorenal protection. *Int J Mol Sci*. 2020, 21:7833. [10.3390/ijms21217833](#)
25. Ghezzi C, Loo DD, Wright EM: Physiology of renal glucose handling via SGLT1, SGLT2 and GLUT2. *Diabetologia*. 2018, 61:2087-97. [10.1007/s00125-018-4656-5](#)
26. Pollock CA, Lawrence JR, Field MJ: Tubular sodium handling and tubuloglomerular feedback in

- experimental diabetes mellitus. Am J Physiol. 1991, 260:F946-52. [10.1152/ajprenal.1991.260.6.F946](#)
27. Uthman L, Baartscheer A, Schumacher CA, et al.: High basolateral glucose increases sodium-glucose cotransporter 2 and reduces sirtuin-1 in renal tubules through glucose transporter-2 detection. Front Physiol. 2018, 9:1575. [10.3389/fphys.2018.01575](#)
28. Chen S, Coronel R, Hollmann MW, Weber NC, Zuurbier CJ: Direct cardiac effects of SGLT2 inhibitors . Cardiovasc Diabetol. 2022, 21:45. [10.1186/s12933-022-01480-1](#)
29. Curthoys NP, Moe OW: Proximal tubule function and response to acidosis . Clin J Am Soc Nephrol. 2014, 9:1627-38. [10.2215/CJN.10391012](#)
30. Umino H, Hasegawa K, Minakuchi H, et al.: High basolateral glucose increases sodium-glucose cotransporter 2 and reduces sirtuin-1 in renal tubules through glucose transporter-2 detection. Sci Rep. 2018, 8:6791. [10.1038/s41598-018-25054-y](#)