

DOI: 10.7759/cureus.62505

Review began 06/02/2024 Review ended 06/10/2024 Published 06/17/2024

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## Genetic Diversity Based on the Analysis of 27 Y-Short Tandem Repetition (STR) Loci in Two Populations in the Apuseni Mountains, Romania

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### **Abstract**

Background: Y chromosome analysis is used in various fields of forensic genetics, genetic genealogy, and evolutionary research, due to its unique characteristics. Short tandem repetitions (STR) are particularly relevant in population genetic studies. The aim of this study is to analyze the genetic profile of two populations in the Apuseni Mountains area, Bäiţa and Roşia Montanā, Romania.

Methods: 27 STR loci of the Y chromosome were analyzed to investigate the genetic profile of two populations from the Apuseni Mountains area. Investigating genetic diversity by analyzing allele frequency, haplotype frequency, calculating forensic parameters, and presenting the main haplogroups identified based on Y-STR markers.

Results: Gene diversity in the batch from Băiţa varies from 0.515 for the DYS393 locus to 0.947 for the DYS385 locus. In the Roşia Montană population, gene diversity ranges from 0.432 for DYS395 to 0.931 for DYS385. The haplotype diversity in Roşia Montană was 0.991, and the haplotype diversity was 1.000 in the population from Băiţa. A total of nine haplogroups was identified in the batch from Bāiţa, while only seven haplogroups were observed in the batch from Roşia Montană. Both groups are based on the same five major haplogroups (E, G, I, J, and R) and the most common haplogroup is R1b in both populations.

Conclusion: In this study, the genetic diversity of two distinct populations was assessed using genetic analyses based on different markers. Analysis of Y-STR profiles revealed significant genetic diversity in both studied groups. All haplogroups identified were similar to those present in other Romanian populations.

Categories: Genetics, Forensic Medicine

Keywords: human, romania population, haplogroup, haplotype diversity, genetic diversity, y-chromosome, y-str

#### Introduction

The Y chromosome, with a relatively small size of about 60 Mb, is transmitted from father to son without significant changes, except for occasional mutations. Approximately 50% of the Y chromosome consists of repetitive sequences, such as single-base substitutions, Alu elements, and long intercalated nuclear elements. Among these, short tandem repetitions (STRs) are relevant in genetic studies of populations. They have an average frequency of mutation of about 0.2% per generation [1].

Y chromosome analysis is widely used in various fields of forensic genetics, genetic genealogy, and evolutionary research due to its distinctive characteristics [2]. Due to their pattern of paternal inheritance, Y-STRs are valuable in family genealogy investigations, ancestral origin analysis, and the identification of male/female DNA mixtures. These characteristics make Y-STRs important tools in historical and genealogical study, as well as in the field of forensics [3].

Y chromosome haplogroups can be successfully predicted from Y-STR markers using Y-STR haplogroup prediction tools. This method has recently attracted attention due to its efficiency in terms of labor, time, and associated costs. The Y-STR haplotype represents the set of alleles on the same chromosome. At the same time, major haplogroups (branches of the Y chromosome phylogeny) reflect the establishment and expansion of major population groups and can provide information about the time scale and route of major migration events [1].

Roşia Montană, located in Alba County, and Băiţa, part of the town of Nucet in Bihor County, are two mining settlements located at a road distance of about 80 km in the Apuseni Mountains region of Transylvania, Romania. According to the Population and Housing Census of 2021, Roşia Montană has a population of 2.428, of whom 74.05% are Romanian, while 16.27% are from other ethnic groups. In contrast, the town of Nucet has 1987 inhabitants, with 89.05% of them being of Romanian ethnicity [4].

Roşia Montană commune is located in the northeastern part of the Metalliferous Mountains and the "golden polygon", 75 km from Alba Iulia, the county residence of Alba, and 10 respectively, 12 km, from the towns of Abrud and Câmpeni. The commune consists of 16 villages spread over an area of 5168 hectares [5].

Roşia Montană is a mining settlement and an example of the coexistence of a great diversity of ethnicities and religions throughout history. The first exploitation activities are attributed by archaeologists to the Neolithic civilization they continued in the Bronze Age, simultaneously with the development of the art of gold processing in the geographical space of today's Transylvania [6].

Starting with the discovery of four wooden boards in 1786 in the gallery of a mine, the Roman exploitation of the gold mines at Rosia Montană was officially attested. The number of these tablets increased to over 25 by 1855, representing contractual documents dating back to the middle of the second century AD. These artifacts confirmed the presence of mining communities in the area and provided a fascinating insight into the mining activity of that period. The first documented mention of the village dates back to the Roman period, under the name of Alburnus Maior [7].

In the area of the Carpathian arc, military attacks followed centuries after the Aurelian withdrawal, including invasions by Ostrogoths, Visigoths, Huns, Avars, Slavs, and ultimately Hungarians in Transylvania. However, as far as Roşia Montană is concerned, between the fourth and thirteenth centuries, there are no archeological or documentary sources attesting to the inhabitation or exploitation of the mines. It was only in the thirteenth century, with the establishment of the Hungarian administration in Transylvania, that the mining areas came into the possession of the royalty, the nobles, or the Catholic Church [6].



In the eighteenth century, the passing of Transylvania under the Austrian administration brought measures aimed at stimulating mining, including the diversification of the types of mined minerals (led, zinc, copper), the construction of accumulation lakes, and the establishment of new mines with paid labor. In Roşia Montană, miners and auxiliary personnel from the golden regions of the Empire were brought without their communities being segregated into separate neighborhoods. However, each group preserved its identity through places of worship, customs, elements of architecture, a popular harbor, and specific furniture [8].

Bâița is a village with a rich history that dates back to the Roman period. During the Roman occupation of Dacia (106-272 AD), mining activity was effectively managed and organized throughout the occupied territory. In a short period of time, the richest deposits of native gold (such as those at Barza, Bâiţa, Caraci, Zlatna, Roşia Montanâ, and Abrud) were identified and partially exploited [9].

The first mining activities were carried out by local peasants, who practiced mining long before the establishment of organized forms of exploitation. Historical documents testify that, in addition to them, foreign settlers and experienced miners, especially from Germany, were brought into the area to participate in the exploitation of the basement resources [10].

It was officially attested in 1270, during the period of early feudalism in Transylvania, when the activity of metal extraction was reactivated in the old mining centers. In the year 1270, miners were brought from the regions that are now part of Germany, France, Austria, and Slovakia and were established in Bäiṭa and Vaṣcāu, awaiting the start of gold, silver, and clay mining (at Băiṭa) and the mining of iron ore (at Vaṣcāu)

In the 17th century, Bihor County suffered economic stagnation, becoming under Turkish occupation, and the mines in Bâiţa and Vaṣcâu were occupied. In 1692, with the exit of Oradea from Turkish domination, the Court of Vienna intervened to develop mining in Bâiţa, and in 1726, mining was resumed under the monopoly of the state [12].

In 1951, deposits of rare minerals were identified in the Bihor Mountains, following prospecting by the USSR Ministry of Geology. In the following year, mining began and in addition to Soviet workers and specialists, at that time, approximately 17,000 Romanians were employed in mining [13]. In 1952, the town of Nucet was built from scratch, next to the Bäiṭa mine. With the incorporation of communal territories and resources, the new city also assumed responsibility for its administration, including the governance of neighboring villages [13].

In Romania, a limited number of studies have been conducted investigating genetic diversity through the analysis of the human Y chromosome, and in these studies, the number of markers analyzed has been restricted. The purpose of this study is to analyze the genetic profiles of two populations in the Apuseni Mountains area, Băița and Roșia Montană. The main objective is to investigate genetic diversity by analyzing allele frequencies, haplotype frequencies, calculating forensic parameters based on 27 Y-STR loci, and presenting the main haplogroups identified based on Y-STR markers.

### **Materials And Methods**

#### **Data collection**

The samples were collected using buccal swabs from 60 unrelated males who have lived in the Apuseni Mountains area (Figure 1) for at least two generations. Men from Rosia Montană area (n = 30) and Bâiţa (n = 30) participated in this study voluntarily, giving their informed written consent to participation. The study was approved by the Ethics Committee of the Faculty of Medicine and Pharmacy at the University of Oradea, Romania

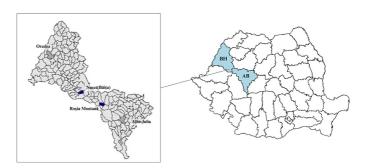


FIGURE 1: The geographical representation of the towns of Roşia Montană (district of Alba) and Băiţa, Nucet (district of Bihor).

Map created in R, the package used ggplot2, shapefiles provided by DIVA-GIS (https://www.diva-gis.org, Accessed April 26, 2024).

### Laboratory analysis

Extraction and Quantification

For the purpose of genetic analysis, the genetic material was extracted using the MasterPure™ Complete DNA and RNA Purification Kit, EPICENTRE®, using the Cell Samples-Buccal Cells method. The extracted DNA was quantified and evaluated from a purity point of view by the spectral photometric method using the NanoPhotometer™ Pearl from Implen by measuring the absorption at 260 nm, 280 nm, and 230 nm, according to the manufacturer's working instructions.

 $Amplification,\ Capillary\ Electrophores is,\ and\ Data\ Interpretation$ 

 $Amplification\ was\ performed\ on\ 25\ STR\ markers\ of\ the\ Y\ chromosome\ (DYS576,DYS389I,DYS635,DYS6505,DYS6505,DYS6505,DYS6505,DYS6505,DYS6505$ 



DYS389II, DYS627, DYS460, DYS458, DYS19, Y GATA H4, DYS448, DYS391, DYS456, DYS390, DYS438, DY392, DYS518, DYS570, DYS437, DY385, DY449, DYS393, DY439, DY481, DYF387S1, and DYS8533) using the AmpF1STR® Yfiler™ Plus PCR Reagents kit, Applied Biosystems™. The amplified products were migrated through capillary electrophoresis into the 3500 HID Genetic Analyzer, Applied Biosystems™, using consumables and reagents according to the manufacturer's working instructions. The fluorescence data was taken from 5500 Data Collection Software, Applied Biosystems™. The data were exported and interpreted using GeneMapper® ID-X, Applied Biosystems™ software.

### Statistical analysis

Population Genetic Parameters and Forensic Parameters

The allele frequency was determined by direct calculation, representing the number of alleles in relation to the population size of the sample [14]. The frequency of the haplotype was determined by direct counting.

The diversity of the genes was calculated using the formula of Nei  $[15]_iGD = \frac{n}{n-1} \cdot (1-\sum_{p_i} 2)$ , where  $p_i$  is the frequency of the allele i, and haplotype diversity (HD) was computed using the form  $HD = \frac{n}{n-1} \cdot (1-\sum_{p_i} 2)$ , where  $p_i$  represents the calculated frequencies of the haplotype i, and n represents the number of samples analyzed [16].

Forensic parameters such as gene diversity (GD), polymorphism information content (PIC), match probability (MP), and power of discrimination (PD) were calculated using the online program STRAF 2.1.5 [17].

The haplotype match probability (HMP) was estimated as HMP= $\sum p2i$  MMB =  $\sum MMB$ , where MMB is the frequency of i haplotype [18]. Discrimination capacity (DC) was calculated by dividing the number of different haplotypes by the total sum of the identified haplotypes [19].

HD, HMP, and DC were evaluated in four different levels, including 9 Y-STR loci for the minimal haplotype (Minimal) (which comprise DYS19, DYS3891, DYS3891, DYS391, DYS392, DYS393, DYS385) for Y12 (Minimal + DYS437, DYS438, DYS439), for Y17-Applied Biosystems Yfiler (Y12+ DYS448, DYS456, DYS458, DY635, YGATAH4) and Y27-Appended Biosystem (Applied Biosystems Yfiler + DY576, DY627, DY460, DY518, DYS570, DY449, DY5481, DYF58751, DYS533) [20].

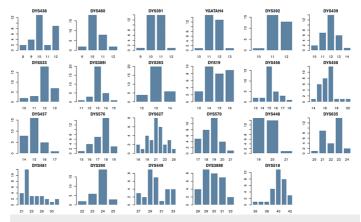
Haplogroup Prediction

The predictions of haplogroups from the Y-STR values of the two batches were made using Athey's haplogroup predictor [21]. In this study, the markers DY\$627, DY\$518, and DY\$87\$1 were excluded from the analysis due to the lack of allele frequency data for these markers. The batch program used 111 markers from the American-based Family Tree DNA (FTDNA) set to perform the prediction, with Eastern Europe selected as the area.

### **Results**

### Allele frequency and forensic parameters for 27 de loci Y-STR

Through the analysis of the Y-STR profiles, it was determined that there were 117 single-copy alleles for the batch from Bāiṭa and 108 single-copy alleles for the batch from Roṣia Montanā. The frequency of alleles in the batch at Bāiṭa is between 0.033 and 0.667, the least allelic variants (n = 3) were observed in the DYS448, DYS392, and DYS393 loci, while the most allelic variants were recorded for the DYS627 loci (n = 9). The allele frequency for 27 Y-STR loci is presented in Appendices, Table 4 and the allele frequency distribution for 23 Y-STR is represented in Figure 2.



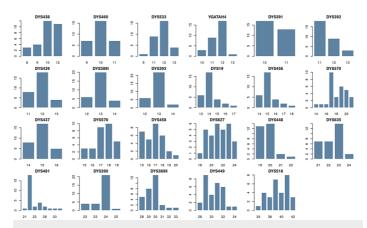
# FIGURE 2: Allele frequency distribution for 23 Y-STR locations in the population of Băiţa.

The horizontal scale indicates the allelic values of each locus, and the vertical scale represents the frequency of occurrence of each allele. Figure created with STRAF.

STARF: STR Analysis for Forensics

In the Roşia Montană batch, the allele frequency ranges from 0.033 to 0.733, and the fewest allele variants (n = 2) were observed at the DYSS91 locus, whereas the most variations (n = 8) were seen at DYSS70 and DYS481. The allele frequency for 27 Y-STR loci is presented in Supplementary Material Table 7. The allele frequency distribution for 23 Y-STR is represented in Figure 3 for the population from Roşia Montană.





# FIGURE 3: Allele frequency distribution for 23 Y-STR loci in the population of Roşia Montană.

The horizontal scale indicates the allelic values of each locus, and the vertical scale represents the frequency of occurrence of each allele. Figure created with STRAF.

STARF: STR Analysis for Forensics

In the case of the multi-locus marker DYS385a/b, 17 haplotype combinations with 11 different alleles were identified in the batch at Bâiţa, and 17 haplotype combinations with nine different alleles each were identified in the batch at Roṣia Montanā. At the same time, the DYF387S1 locus presented 14 haplotypes formed from combinations of six distinct alleles in the batch at Bāiţa and 12 haplotypes formed from a combination of eight distinct alloys in the batch at Roṣia Montanā. Also, in the lot from Roṣia Montanā was identified an individual that presented three variants on locus DYF387S1.

In both datasets, all variant alleles were within the allelic scale range specific to the AmpF1STR® Yfiler PlusTM PCR Reagents™ kit amplification kit, Applied Biosystems™.

GD, PIC, MP, and PD are presented in Supplementary Material, Tables 4 and 7. The GD in the batch from Båita ranges from 0.515 for DYS395 to 0.947 for DYS385. The highest values of GD were recorded at rapidly mutating (RM) markers, reaching 0.917 at DYF387S1, 0.869 at DYS627, and 0.832 at DYS449. Also, the highest GD values for single-copy Y-STR markers were 0.809 for DYS481 and 0.789 for DYS389II. By contrast, the lowest GD values were 0.533 for the DYS389I and 0.545 for the DYS392. GD ranges from 0.432 for DYS393 to 0.931 for the DYS385 locus in the Roşia Montanā lot. Also, in this case, the highest values for GD were recorded at RM markers, respectively: 0.864 at DYS627, 0.848 at DYF387S1, and 0.846 at DYS518. As for single copy markers, the highest value of GD was 0.809 for DYS458, and the lowest values were 0.490 for DYS390 and 0.506 for DYS391.

The PIC values varied between 0.419 for the DYS392 and 0.910 for the DYS385 in the population of Băița and between 0.370 for the DYS393 and 0.893 for the DYS385 in the Roșia Montană population.

In the population of Băiţa, the lowest MP values were recorded for the multi-copy marker, DYS385, with a value of 0.084, and for the RM markers, DYF387S1, with a value of 0.113, and DYS627, with a value of 0.160. At the same time, the highest values were recorded with the markers DYS389I, with a value of 0.484, and DYS393, with a number of 0.502. In the batch from Roşia Montaná, the lowest MP values were 0.100 for the multi-copy locus, DYS385, and 0.164 for the RM locus, DYS627, respectively, and 0.180 for the RM location, DYF387S1. At the same time, the highest values were recorded for single-copy markers, reaching 0.527 for the DYS390 and 0.582 for the DYS393.

The PD values vary between 0.498 and 0.916 in the batch at Bǎiṭa, respectively, and between 0.418 and 0.900 in the batch at Roṣia Montanǎ, for the same loci, DYS393 and DYS385.

### Haplotype frequency

The genetic diversity of the population examined, consisting of 60 individuals from Roşia Montană and Băiţa, as well as their genotypic profiles, are summarized in Supplementary Tables  ${\tt 3}$  and 6. In this study, the genetic diversity of two distinct populations was evaluated using genetic analyses based on different markers. First, analysis was carried out using 27 Y-STR markers, and it was observed that all individuals in Băiţa presented unique genotypic profiles, resulting in an HMP of 0.033 and an HD of 1.000. In contrast, in the population of Roṣia Montanã, four identical haplotypes were identified in two individuals each, resulting in an HMP of 0.042 and an HD of 0.991.

Subsequently, the number of markers was reduced to 17, and it was found that the results for the population of Băiţa remained constant. However, using the minimal marker kit, a decrease in the number of unique haplotypes was observed, indicating a discriminatory capacity (DC) of 0.900. In the case of the population of Roṣia Montanā, analysis with the minimal kit, Y12 and Y17, resulted in a significant decrease in the number of unique haplotypes, with HD ranging between 0.959 and 0.982, while DC decreased from 0.867 to 0.733.

Table  $\it I$  provides an overview of the genetic parameters evaluated in the Bäiṭa population using four distinct levels of Y-STR markers.



	Minimal	Y12	Y17	Y27
Haplotype match probability (HMP)	0.040	0.038	0.033	0.033
Haplotype diversity (HD)	0.993	0.995	1.000	1.000
Total haplotypes	30	30	30	30
Unique haplotypes	24	26	30	30
Identical haplotype in two individuals	3	2	-	-
Discrimination capacity (DC)	0.900	0.933	1.000	1.000

TABLE 1: Genetic parameters evaluated in the population of Băiţa for the minimal set Y12, Y17, and Y27.

 $\label{thm:continuous} Table\ {\it 2}\ presents\ the\ same\ analysis\ for\ the\ Rosia\ Montană\ population,\ using\ four\ distinct\ levels\ of\ Y-STR\ markers.$ 

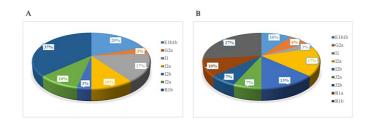
	Minimal	Y12	Y17	Y27
Haplotype match probability (HMP)	0.073	0.071	0.051	0.042
Haplotype diversity (HD)	0.959	0.961	0.982	0.991
Total haplotypes	30	30	30	30
Unique haplotypes	18	20	20	22
Identical haplotype in two individuals	3	2	2	4
Identical haplotype in three individuals	-	-	2	-
Identical haplotype in six individuals	1	1	-	-
Discrimination capacity (DC)	0.733	0.767	0.800	0.867

TABLE 2: Genetic parameters evaluated in the population of Roşia Montană for the Minimal set Y12, Y17, and Y27.

## Haplogroup frequency

The haplogroup prediction was made using the Whit Athey Predictor for 23 Y-STR markers. The accuracy of the haplogroup predictions was 100%, except in two cases where the precision was 99.9% (Roşia Montană) and 93.5% (Băiţa). The predicted haplogroups for the population of Băiţa and Roşia Montană using haplogroup predictor are shown in Supplementary Material Table  $\it 5$  and Table  $\it 8$ , respectively.

A total of nine haplogroups was identified in the batch at Bāiṭa, while only seven were observed in the batch at Roṣia Montanā. This indicates a greater diversity of haplogroups in the batch at Bāiṭa than in that at Roṣia Montanā, despite the fact that both batches are based on the same five major haplogroups (E, G, I, J, and R). The distribution of haplogroups in the two batches is shown in Figure 4.



# FIGURE 4: (A) Distribution of haplogroups in the batch Roşia Montană. (B) Distribution of haplogroups in batch Băiţa.

The image was created in Microsoft Excel by the authors of this article and represents the distribution of haplogroups both in Roşia Montanā and in Bāiţa.

In the Rosia Montană community, the most common haplogroup is R1b, with a total of 11 individuals, followed by E1b1b with six individuals. On the other hand, G2a and J2b are completely absent, while J2a and I2b are rare, with only three and one individual, respectively.

In contrast, in the Băița community, haplogroup R1b remains predominant, with eight individuals, but with a lower frequency than in Roșia Montană. Interestingly, G2a, with two individuals, and I2b, with four individuals, are more present in Băița than in Roșia Montană. Also, J2b and R1a are present only in Băița and



absent in Roșia Montană.

Haplogroups I1 and I2a have a different distribution between the two communities. In Roşia Montană, I1 has a significant frequency, with five individuals, while in Băiţa it is much rarer, with one individual. Instead, I2a has a greater presence in Băiţa, with five individuals, compared to the three in Roşia Montană.

#### Discussion

In this study, we aimed to present the genetic diversity through the analysis of the Y chromosome of two populations in the Apuseni Mountains, inhabitants of the Bäiţa area and inhabitants of the Roşia Montană area

Genetic diversity within a population is often assessed by measuring expected heterozigotism, which represents the probability that two samples taken from the same population at a particular location of the genome will have different genetic types. Expected heterozygosity is a sensitive measure of genetic diversity, with higher values indicating greater diversity [22]. The GD in Bäiṭa and Roṣia Montanā is evident, with the markers with rapid mutation showing the highest values: DYF387S1, DYS627, and DYS518 in Roṣia Montanā, respectively DYF387S1, DYS627, and DYS449 in Bäiṭa. In both populations, single-copy markers such as DYS481 and DYS458 showed significant levels of diversity. However, there are also markers with lower diversity, such as DYS391 and DYS392 in Bāiṭa, and DYS390 and DYS391 in Roṣia Montanā.

The PIC is assessed according to the genetic marker's ability to identify variability in the population, based on the number and frequency of alleles detected. Therefore, the PIC reflects the marker's DC, influenced by the number and distribution of the frequency of the identified alleles [23], [24]. Marker DYS385 was observed to have the highest PIC values in both populations, suggestive of significant genetic diversity at this locus, while marker DYS392 had lower PIC values, indicating less genetic diversity at this locus.

MP is the probability of obtaining a match between two distinct and unrelated individuals and provides a useful measure for assessing the discriminatory power of the DNA profiling system [25]. The low MP values, especially at markers DYS385 and RM DYF387S1 and DYS627 in the batch from Bäiṭa and Roṣia Montanā, indicate a good ability to distinguish between individuals at these loci. Conversely, higher MP values at markers DYS389I, DYS390, and DYS393 show a higher probability of coincidence between individuals in both populations.

The PD is a measure used in genetics to determine the ability of a set of genetic markers to differentiate between individuals. It quantifies the probability that two randomly chosen individuals have different haplotypes (combinations of alleles at multiple genetic locations) [26]. PD was observed to show minimum values at marker DY\$395 and maximum values at marker DY\$385 in both populations.

In the analysis of HD, similar values of HD for the minimal set of markers were also reported in the study [27], which analyzed genetic diversity in Romania. In this study, 97 different haplotypes were identified, of which 92 were unique. The HD was 0.9887, and the DC was 0.9326. These results suggest that Băiţa and the general population in Romania show similar levels of genetic diversity in terms of the minimum set of markers used in the study. In contrast, the diversity of 0.959 for Roṣia Montanā, although high, is still smaller than that in Bāiṭa. This may suggest a less genetically varied population.

During the set of 12 markers in the population of Roşia Montană, 20 unique haplotypes were identified out of a total of 30 samples, while in Băița, 26 unique haplotypes were also found out of 30 samples. These results suggest substantial genetic diversity in both populations. A similar study conducted in Transylvania [28] compared two populations and analyzed 175 samples, observing 134 different haplotypes. Of the 86 samples in Miercurea Ciuc, 81 were unique haplotypes, and for Lunca de Sus, out of 54 samples, 39 were unique.

By extending the analysis to 17 locations, it was found that the number of unique haplotypes remained constant in Roşia Montană, while in Băiţa, this number increased to 30. This result suggests a potentially greater genetic diversity in Băiţa compared to Roşia Montană, while the genetic structure of this community remained stable. A similar study conducted in Bucharest [29] analyzed a batch of 122 randomly chosen men from nine counties in southern Romania. A total of 115 different haplotypes were determined, of which 109 were unique. A similar result of genetic diversity was observed in another study conducted in eastern Romania [30], which found a diversity of haplotypes of 0.9895 for the batch of 54 males from Romania. Also, another study conducted in several areas of Romania (Cluj, Braşov, Dolj, Mehedinţi) [31] showed similar values for a larger number of markers (19 markers), with diversities of haplotypes ranging between 0.9775 and 0.9973

In the analysis of 27 Y-STR markers, the highest values of HD and capacity for discrimination were recorded. For Roşia Montaná, HD was 0.991 and DC was 0.867, while for Báiţa, both values were 1.000. Also, in another study that analyzed 23 Y-STR markers [32], an HD of 0.9995 was obtained. Thus, the use of an extended set of markers can bring greater precision and accuracy to the genetic analysis of populations.

In both populations from Băița and Roșia Montană, the same five major haplogroups (E, G, I, I, and R) were identified. In Roșia Montană, R1b is the most common haplogroup, followed by E1b1b. G2a and J2b are completely absent, and J2a and 12b are rare. In Băița, R1b remains predominant, but with a lower frequency than in Roșia Montană. G2a and I2b are more present in Băița, and J2b and R1a are present only there. The distribution of haplogroups I1 and I2a is different between the two communities, with I1 more frequent in Roșia Montană and I2a more present in Băița.

The haplogroup R of the Y chromosome is one of the 20 haplogroups that make up the standardized global phylogenesis. It consists of two main components: R1-M173 and R2-M479. Within R1-M173, most of the variation existing in Eurasia is restricted to R1a-M420 and R1b-M343. In Europe, haplogroup R1a is most commonly found in Eastern Europe, while R1b predominates in Western Europe [33].

In Romania, haplogroup R1a has been observed to be common in several regions, including in the population of Sinteu, north-western Romania [34], the clusters of Cluj, Mehedinți, Dolj, and Braşov, but also in the cluster of Romanians in eastern Romania [50]. In contrast, haplogroup R1b was to be dominant in the population of Palota, Bihor [54], as well as in Piatra Neamţ and Buhuşi [30].

Haplogroup E1b1 is now subdivided into two distinct basal branches: E-V38 (E1b 1a) and E-M215 (E 1b 1b), together with E-M329 (former E1b1c). Each of these two subdivisions has a specific geographical distribution. The haplogroup E-M2 is most common in sub-Saharan Africa, while the haplogroup E-M329 has been identified mainly in eastern Africa. The other basal branch of haplogroup E1b1, E-M215, is spread



geographically from southern Europe to northern and eastern Africa and is suggested to have originated in this region [35]. In the Roşia Montană batch, haplogroup E1b1 was identified in 20% of the males. The same haplogroup was also observed in lots in Cluj, Dolj, and Braşov [31].

Haplogroup I-M170 is part of the European genetic heritage of Y chromosomes, accounting for about 18% of the total paternal lines on average. Its virtual absence in other regions, including the Middle East, suggests that it appeared in Europe, probably before the Last Glacial Maxim. Haplogroup I1 a is predominant in northern Europe, with the highest frequency in the Scandinavian populations, while haplogroup I1b\* is the most common clade in Eastern Europe and the Balkans [36]. Haplogroup I2a, currently predominant in Eastern Europe, has been identified in ancient Y-STR sequences from hunter-gatherers from Switzerland, Hungary, and Scandinavia, as well as in samples from the Neolithic and Bronze Age in Hungary and Germany [37]. The third most common haplogroup found in the population of Rosia Montanā is I1, which is also in Harghita County, Transylvania [32]. On the other hand, haplogroups I2a and I2b were commonly found in the population of Bäiţa. These haplogroups have also been identified in the populations of Cluj, Mehedinţi, Dolj, Braşov [31], Odorheiu Secuiesc [32], Piatra-Neamţ, and Buhuşi [30].

Haplogroup J consists of two main clads: J-M267(J1) and J-M172(J2). J-M172, although as common as J-M267 in some populations in the Middle East, is more widespread in Europe [38]. In the two populations studied, the frequency of haplogroup J2a is 10% in Roşia Montană and 7% for both J2a and J2b in the population of Băiţa. In addition, in Romania, the frequencies of haplogroup J2a were in Odorheiu Secuiesc [32] and J2b in Mehedinti [31].

### **Conclusions**

In this study, we investigated the genetic diversity by analyzing the Y chromosome, using 27 Y-STR markers, in two populations from the Apuseni Mountains: the inhabitants of the Băiţa area and the inhabitants of the Roşia Montană area. These two towns are remarkable for their fascinating history. Analysis of Y-STR profiles revealed significant genetic diversity in both studied groups. The maximum values of GD are recorded at the RM markers in both populations. In this study, the genetic diversity of two distinct populations was assessed using genetic analyses based on different markers from the minimal kit to Y27. The use of a larger number of Y-STR markers has been observed to demonstrate greater discriminatory power and HD than kits with a lower number of markers. In terms of haplogroup distribution, the most common determining haplogroups in the population of Roşia Montană are R1b, E1b1b, and I1, while in Băiţa, the haplogroups R1b, I2a, and I2b prevail. This study can be useful by providing a deeper understanding of the genetic diversity and genetic structure of the population of Roşia Montană and Băiţa. This could serve as a basis for future research in areas such as population genetics and genealogy.

### **Appendices**



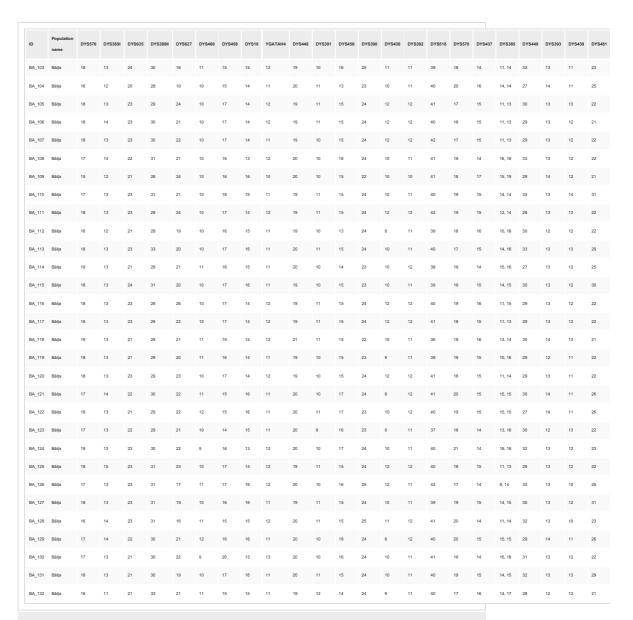


TABLE 3: Haplotypes for 27 Y chromosomal STR loci in the population of Băița.

STR: short tandem repetitions

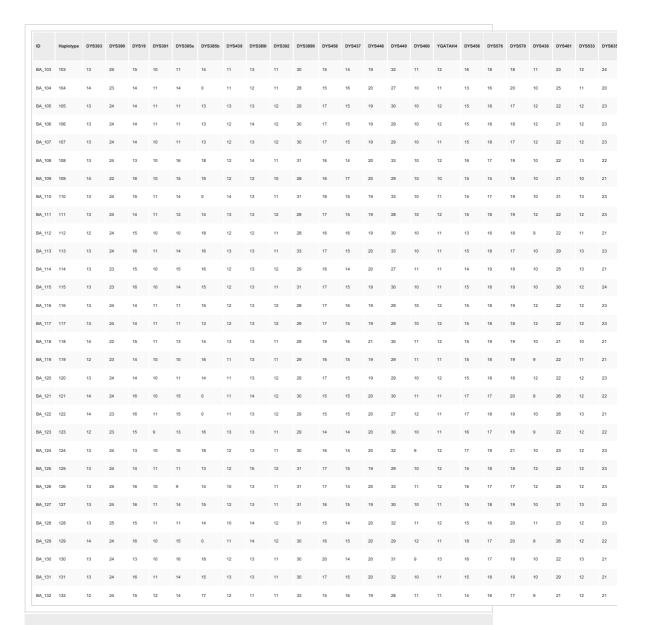


Allele/n	DYS576	DYS389I	DYS635	DYS389II	DYS627	DYS460	DYS458	DYS19	YGATAH4	DYS448	DYS391	DYS456	DYS390	DYS438	DYS392	DYS518	DYS570	DYS437	DYS449	DYS393	DYS439	DYS481	DYS533	Alle
3														0.067										9, 1
9						0.067					0.033			0.133										10,
0						0.600			0.033		0.467			0.433	0.033						0.067		0.067	10,
11		0.033				0.267			0.500		0.467			0.067	0.533						0.233		0.100	11,
12		0.100				0.067			0.433		0.033			0.300	0.433					0.133	0.467		0.600	11,
13		0.667						0.100	0.033			0.067								0.667	0.200		0.233	11,
14		0.167					0.033	0.333				0.067						0.267		0.200	0.033			12,
5	0.033	0.033					0.200	0.267				0.567						0.533						13
6	0.133				0.067		0.267	0.300				0.167						0.167						13
7	0.233				0.033		0.400					0.100					0.167	0.033						14
8	0.500						0.033					0.033					0.267							14.
9	0.100				0.133		0.033			0.500							0.400							14
0			0.033		0.100		0.033			0.467							0.133							14
1			0.300		0.267					0.033							0.033					0.133		15
2			0.133		0.200								0.067									0.400		15
3			0.467		0.067								0.200									0.100		15
4			0.067		0.100								0.633											16
,													0.100									0.100		
Б					0.033																	0.100		
7																			0.100					
8				0.133															0.067					
9				0.300															0.300			0.067		
0				0.267															0.233			0.033		
1				0.233															0.033			0.067		
2																			0.133					
3				0.067															0.133					
5																0.033								
7																0.033								
8																0.033								
9																0.167								
D																0.367								
1																0.267								
2																0.100								
2	30	30	30	30	30	30	30	30	30	30	30	30	30	30	30	30	30	30	30	30	30	30	30	N
all	5	5	5	5	9	4	7	4		3	4	6	4	5	3	7	5	4	7	3	5	8	4	Na
D	0.690	0.533	0.692	0.789	0.869	0.579	0.749	0.743		0.549	0.582	0.653	0.563	0.720	0.545	0.779	0.747	0.637	0.832	0.515	0.706	0.809	0.591	GI
IC	0.621	0.479	0.615	0.722	0.822	0.501	0.681	0.664	0.464	0.421	0.465	0.599	0.500	0.647	0.419	0.716	0.677	0.554	0.778	0.445	0.633	0.761	0.520	Pl
IP.	0.333	0.484	0.331	0.238	0.160	0.440	0.276	0.282	0.440	0.469	0.438	0.369	0.456	0.304	0.473	0.247	0.278	0.384	0.196	0.502	0.318	0.218	0.429	MF

## TABLE 4: Allele frequencies and forensic parameters for 27 Y-STR loci in the Băiţa population.

N: number of samples; Nall: number of alleles; GD: gene diversity; PIC: polymorphism information content; MP: match probability; PD: power of discrimination; STRs: short tandem repetitions





# TABLE 5: Haplogroups predicted for the Băiţa population using Athey's haplogroup predictor by 23 Y-STRs.

STR: short tandem repetitions

ID	Population name	DYS576	DYS389I	DYS635	DYS389II	DYS627	DYS460	DYS458	DYS19	YGATAH4	DYS448	DYS391	DYS456	DYS390	DYS438	DYS392	DYS518	DYS570	DYS437	DYS385	DYS449	DYS393	DYS439	DYS481
RM_002	Roșia Montană	18	13	24	33	19	11	18	17	11	20	11	15	24	10	11	40	18	15	14, 16	32	13	13	29
_	Roșia Montană	16	12	21	28	19	10	15	14	11	20	10	14	22	10	11	37	21	15	14, 14	28	13	11	28
RM_004	Roșia Montană	18	13	23	29	23	10	18	14	12	19	11	15	24	12	12	41	17	15	11, 13	29	13	12	22
RM_005	Roșia Montană	18	13	21	30	20	10	16	14	10	22	10	15	22	9	11	38	19	14	12, 15	29	12	11	22
RM_006	Roșia Montană	15	12	22	28	19	10	15	15	10	20	10	14	23	8	11	39	20	16	14, 14	31	12	11	26
RM_007	Roșia Montană	17	14	23	30	21	11	17	14	12	19	11	15	24	12	13	37	17	15	11, 14	31	13	11	22
RM_035	Montană	19	13	22	30	21	9	19	13	12	20	10	17	24	10	11	39	20	14	16, 19	31	13	12	23
RM_043	Roșia Montană	18	13	23	29	23	10	18	14	12	19	11	15	24	12	12	42	17	15	11, 13	29	13	12	22
	Roşia																							



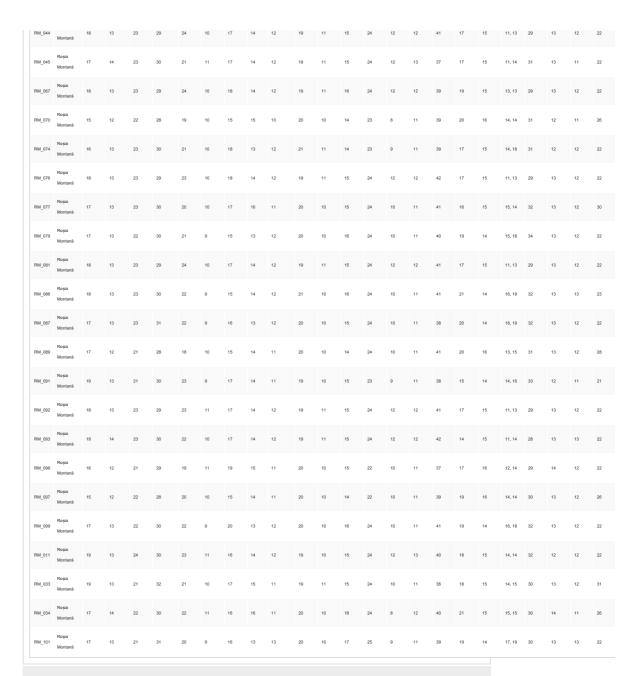
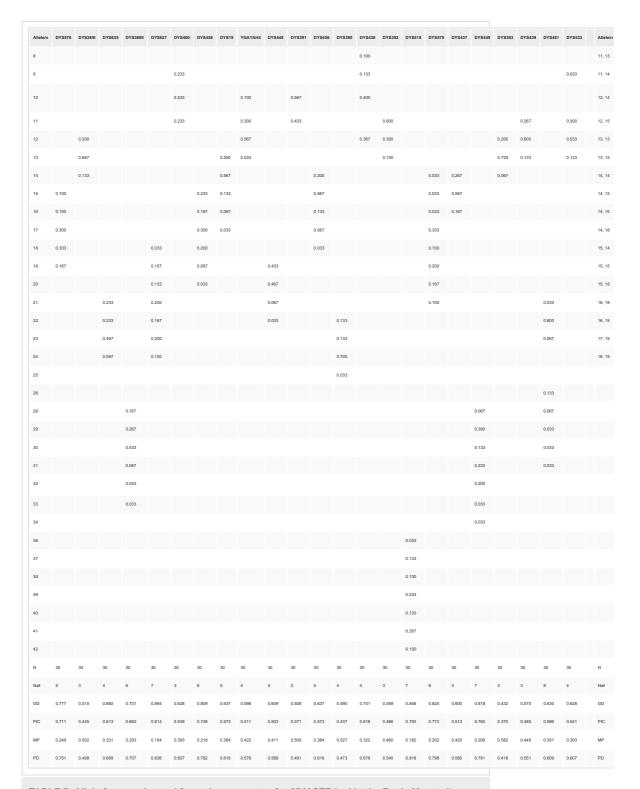


TABLE 6: Haplotypes for 27 Y chromosomal STR loci in the population of Roșia Montană.

STR: short tandem repetitions





# TABLE 7: Allele frequencies and forensic parameters for 27 Y-STR loci in the Roşia Montană population.

N: number of samples; Nall: number of alleles; GD: gene diversity; PIC: polymorphism information content; MP: match probability; PD: power of discrimination; STRs: short tandem repetitions



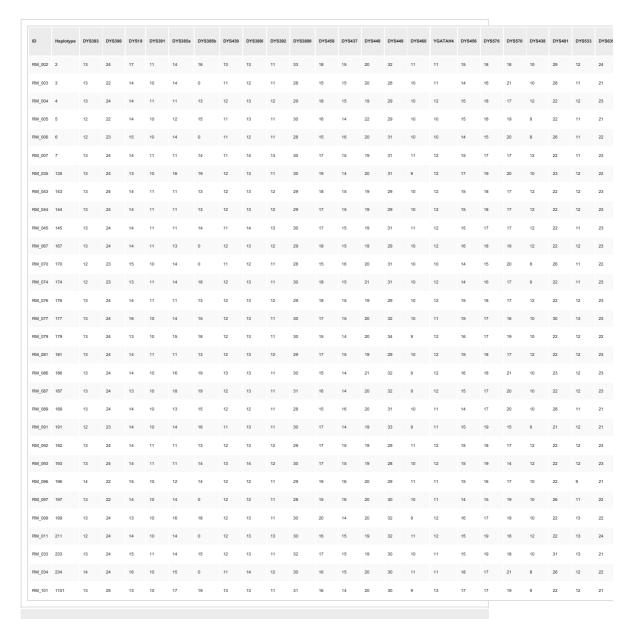


TABLE 8: Haplogroups predicted for the Roşia Montană population using Athey's haplogroup predictor by 23 Y-STRs.

## **Additional Information**

## **Author Contributions**

All authors have reviewed the final version to be published and agreed to be accountable for all aspects of the work.

Concept and design: Ramona Hodişan, Dana C. Zaha, Claudia M. Jurca, Marius Bembea

 $\textbf{Acquisition, analysis, or interpretation of data:} \ \texttt{Ramona Hodi}\\ \texttt{san, Streba Irina}$ 

 ${\bf Drafting\ of\ the\ manuscript:\ }$ Ramona Hodişan, Streba Irina

**Critical review of the manuscript for important intellectual content:** Dana C. Zaha, Claudia M. Jurca, Marius Bembea

Supervision: Dana C. Zaha, Claudia M. Jurca, Streba Irina, Marius Bembea

## Disclosures

Human subjects: Consent was obtained or waived by all participants in this study. The Research Ethics Committee of the Faculty of Medicine and Pharmacy, University of Oradea issued approval CEFMF/2. This research was approved by the Research Ethics Committee of the Faculty of Medicine and Pharmacy, University of Oradea. All individuals participating in the study were informed about the purpose of the research (investigation of genetic diversity by Y-STR analysis) and gave written consent. Personal information of individuals is not used in this research. Animal subjects: All authors have confirmed that this study did not involve animal subjects or tissue. Conflicts of interest: In compliance with the ICMJE uniform disclosure form, all authors declare the following: Payment/services info: All authors have declared that no financial support was received from any organization for the submitted work. Financial



**relationships:** All authors have declared that they have no financial relationships at present or within the previous three years with any organizations that might have an interest in the submitted work. **Other relationships:** All authors have declared that there are no other relationships or activities that could appear to have influenced the submitted work.

#### **Acknowledgements**

We would like to express our sincere thanks to all those who contributed to this research, including the sample donors and those who provided us with guidance and support in the settlements in the Apuseni Mountains area. In particular, we would like to express our sincere thanks to Dr. Streba Irina for her support in this research.

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