

Preoperative Assessment to Predict Difficult Airway Using Multiple Screening Tests

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Abstract

Background

Predicting a difficult airway is one of the necessities in anesthesiology practice. Recognition of an obviously difficult airway leads to a series of communication and preparations to assist, as well as the establishment and maintenance of the airway. In this study, we compared various predictors of difficult laryngoscopy/intubation to determine the best possible difficult airway predictors. The present study aimed to evaluate the sensitivity, specificity, positive predictive value (PPV), negative predictive value (NPV), and accuracy of the following airway assessment tests to predict difficult airway: (1) Modified Mallampati test; (2) thyromental distance; (3) inter-incisor gap; (4) upper lip bite test; (5) LEMON airway assessment test; and (6) atlantooccipital movement.

Methodology

A total of 300 patients who presented for different operative procedures were selected. Screening tests were done in the preoperative examination room. The tests included the Modified Mallampati test, thyromental distance, upper lip bite test, inter-incisor gap, LEMON airway assessment, and atlantooccipital movement. Laryngoscopy was done in the operation theater and the view was classified according to Cormack-Lehane's scale. Using this clinical data, the sensitivity, specificity, PPV, and NPV of each test in predicting difficult airways were calculated.

Results

The thyromental distance test had the highest sensitivity, NPV, and accuracy. The upper lip bite test had the highest specificity and PPV. LEMON airway assessment test had the lowest specificity, PPV, NPV, and accuracy. Thyromental distance had the highest accuracy followed by the Modified Mallampati test. Inter-incisor gap had low sensitivity and PPV, and the atlantooccipital extension test had low sensitivity.

Conclusions

The currently available screening tests for difficult intubation have only poor-to-moderate discriminative power when used alone. No single airway test can provide a high index of sensitivity and specificity for the prediction of difficult airways. The upper lip bite test had the highest specificity and the thyromental distance test had the highest NPV. Every anesthesiologist must be trained and equipped to deal with now much less common, unexpected failure to intubate.

Categories: Anesthesiology, Medical Education

Keywords: atlantooccipital, interincisor gap, lemon score, upper lip bite, mallampatti, difficult, predictors, intubation, assessment, airway

Introduction

Anesthesia is a unique specialty. Some airways may be difficult to maintain under mask anesthesia but are easily intubated, other airways are difficult to intubate but may be maintained with mask anesthesia for the duration of the operation, and some are difficult to manage in both aspects [1]. One of the fundamental responsibilities of the anesthesiologist is to mitigate the adverse effects of anesthesia on the respiratory system by maintaining airway patency and ensuring adequate ventilation and oxygenation [2]. Accurate assessment of the airway can prevent catastrophic perioperative events such as hypoxia, hypercapnia, arrhythmia, and cardiac arrest. Successful airway management requires a range of knowledge and skill sets, specifically the ability to predict difficulty with airway management and formulate an airway management plan, as well as the skills necessary to execute the plan using a wide array of available airway devices. Recognition of an obviously difficult airway leads to a series of communication and preparations to assist, as well as the establishment and maintenance of the airway. Respiratory events are the common causes of anesthesia-related morbidity, out of which 85% are related to mistakes regarding airway management resulting in permanent cerebral damage due to hypoxia and 30% of anesthesia-related deaths. This makes it

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the most important cause of major anesthesia-related morbidity and mortality. There are many tests to predict difficult laryngoscopy and intubation. Mallamapati et al. introduced a screening test that classifies the visibility of the oropharynx. Patil Aldreti measured the distance of the thyroid notch to the mentum and the distance of the manubrium sterni to the mentum, that is, thyromental distance and sternomental distance. A recently introduced test is the upper lip bite test which assesses the ability of the patient to cover the mucosa of the upper lip bite with lower incisors. Other tests include inter-incisor gap, LEMON airway assessment, and the atlantooccipital movement test. These tests have been tested in various previous studies for the prediction of difficult airways but the combination of tests included here is unique. Therefore, we conducted this study to compare the sensitivity, specificity, positive predictive value (PPV), negative predictive value (NPV), and accuracy of these tests as methods of airway assessment for difficult laryngoscopy.

Materials And Methods

After obtaining institutional ethical committee approval, 300 patients with the American Society of Anesthesiologists Physical Status I-III and aged between 18 and 65 years for different operative procedures were selected between January 2019 and November 2019.

Inclusion and exclusion criteria

Inclusion criteria included ASA Physical Status I-III, patients posted for elective surgeries and scheduled to receive general anesthesia (orthopedic, ENT, ophthalmologic, abdominal, urologic, and gynecological procedures), and patients aged between 18 and 65 years.

Exclusion criteria included ASA Physical Status IV-V; uncooperative and unwilling patients not following verbal commands; patients with a history of burns, trauma, or surgeries to the airway; patients with tumors or masses in the neck or the airway; patients with restricted mobility at the neck and mandible; patients with an inability to sit; edentulous patients; or those who needed awake intubation.

Screening tests

Multiple screening tests were performed in the preoperative examination room including the Modified Mallamapati test, thyromental distance, upper lip bite test, inter-incisor gap, LEMON airway assessment, and atlantooccipital movement test.

Modified Mallamapati Test

Sampson and Young's modification of the Mallamapati test recorded oropharyngeal structures visible upon maximal mouth opening. Each patient when seated was asked to open their mouth maximally and protrude the tongue without phonation. The view was classified as Class I (visualization of the soft palate, hard palate uvula, and anterior and posterior tonsillar pillars), Class II (visualization of the soft palate, hard palate, and uvula), Class III (visualization of the soft and hard palate and the base of the uvula), and Class IV (only hard palate is visible).

Thyromental Distance

The distance between the tip of the thyroid cartilage to the tip of the inside of the mentum is measured in the neck fully extended position. Grade 1: >6.5 cm implies easy laryngoscopy and intubation; Grade 2: ~6.0 cm to 6.5 cm implies difficult intubation but possible; and Grade 3: <6 cm implies that intubation may not be possible.

Upper Lip Bite Test

This is performed by assessing the ability of the patient to cover the mucosa of the upper lip with lower incisors. This test is rated as Class I if the lower incisors can bite the upper lip above the vermilion line, Class II if the lower incisors can bite the upper lip below the vermilion line, and Class III if the lower incisors cannot bite the upper lip.

Inter-Incisor Gap

It is defined as the distance between the incisors (or alveolar marginal) with the mouth opening maximally. Grade 1: >4 cm and Grade 2: <4 cm.

LEMON Airway Assessment

The score with a maximum of 10 points is calculated by assigning 1 point for each of the following criteria: L - look externally: Facial trauma, large incisors, beard, mustache, or large tongue. E - evaluate the 3-3-2 rule Incisor distance: ~3 finger, hyoid mental distance: ~3 finger, thyroid to floor of mouth distance: ~2 finger.

Mallamapati score - >3. O - obstruction: epiglottitis, peritonsillar abscess, and trauma. N - neck mobility (limited neck mobility).

Atlantooccipital Movement

In this test, the patient is asked to hold the head erect, facing directly to the front. Subsequently, they are asked to extend the head maximally and the examiner estimates the angle traversed by the occlusal surface of the upper teeth. Grade 1: >35, Grade 2: 22-34, Grade 3: 12-22, Grade 4: <12.

In the operation theater, the monitors attached included non-invasive blood pressure, electrocardiogram, pulse oximeter, and end-tidal CO₂. Patients were preoxygenated with 100% oxygen for four deep breaths for 30 seconds using an oxygen flow of 6 L/minute. After premedication, induction of anesthesia was done with an appropriate inducing agent followed by a depolarizing muscle relaxant. Laryngoscopy was done and the view was classified according to Cormack-Lehane's scale, without any external laryngeal manipulation. This scale is graded as Grade 1 (vocal cords visible), Grade 2 (only posterior commissure visible), Grade 3 (only epiglottis visible), and Grade 4 (none of the above visible). Difficult visualization was described as Grade 3 and 4 classification. Easy visualization was described as Class 1 and 2 classification. Confirmation of intubation was done by bilateral auscultation of lung fields and capnography.

Failed intubation was defined as not being able to intubate the patient's airway and the need for surgical airway manipulation. Easy intubation was defined as being able to intubate without bougie/stylet and without any external larynx manipulation.

Results

A total of 300 patients scheduled to receive general anesthesia and endotracheal intubation were selected. Of the 300 patients, 179 (60%) were male and 121 (40%) were female. As shown in Table 1, 110 (36%) patients belonged to the ASA I grade, 103 (34%) to the ASA II grade, and 87 (29%) to the ASA III grade.

Grade	Number of patients	Percentage
ASA I	110	36%
ASA II	103	34%
ASA III	87	29%
Total	300	100%

TABLE 1: Distribution of the patients among different ASA grades.

ASA = American Society of Anesthesiology

Table 2 shows the distribution of the patients among the different classes of the Modified Mallampati classification. There were 250 (214 + 36) patients in classes I and II, predicted as easy intubation. Whereas 50 (41+9) patients belonged to classes III and IV, predicted as difficult intubation.

MMT	Number of patients	Percentage
Class I	214	71%
Class II	36	12%
Class III	41	13%
Class IV	9	3%
Total	300	100%

TABLE 2: Distribution of patients among different classes of Modified Mallampatti classification.

MMT = Modified Mallampatti test

The number of patients in each class of thyromental distance is presented in Table 3. In total, 230 cases were included in Class I, which predicted easy intubation. However, there were 50 patients in Class II and 20 patients in Class III, which predicted difficult intubation.

Thyromental test	Number of patients	Percentage
Class I	230	85%
Class II	50	11%
Class III	20	3%
Total	300	100%

TABLE 3: Distribution of patients among different classes of the thyromental test.

The number of patients in each class of inter-incisor gap is presented in Table 4. In total, 230 patients were included in Grade 1, which predicted easy intubation, and 70 patients were included in Grade 2, which predicted difficult intubation.

Inter-incisor gap	Number of patients	Percentage
Grade 1	230	76%
Grade 2	70	23%
total	300	100

TABLE 4: Distribution of patients among two grades of the inter-incisor gap test.

The number of patients in each class of the upper lip bite test is presented in Table 5. In total, 290 patients belonged to Class I and Class II, which predicted easy intubation, and 10 patients belonged to Class III, which predicted difficult intubation.

ULBT	Number of patients	Percentage
Class I	200	66%
Class II	90	30%
Class III	10	3%
Total	300	100%

TABLE 5: Distribution of patients among different classes of the upper lip bite test (ULBT).

The number of patients in each class of LEMON airway assessment is given in Table 6. A total of 230 patients had LEMON scores of 0/10, which predicted easy intubation, and 70 patients had LEMON scores >0/10, which predicted difficult intubation.

LEMON airway assessment	Number of patients	Percentage
Score 0/10	230	76%
Score >0/10	70	23%
Total	300	100

TABLE 6: Distribution of patients among two different scores of the LEMON airway assessment test.

The number of patients in each class of the atlantooccipital test is presented in Table 7. A total of 280 patients belonged to atlantooccipital extension grades I and II, which predicted easy intubation, and 20 patients belonged to grades III and IV, which predicted difficult intubation.

Atlantooccipital extension	Number of patients	Percentage
Grade I	250	83%
Grade II	30	10%
Grade III	15	5%
Grade IV	5	1.6%
Total	300	100%

TABLE 7: Distribution of patients among different grades of the atlantooccipital test.

The number of patients in each grade of Cormack-Lehane classification of glottis exposure is shown in Table 8. A total of 264 patients belonged to Cormack-Lehane grades I and II, indicating easy intubation, and 36 patients belonged to grades III and IV, indicating difficult intubation.

Cormack-Lehane grade	Number of patients	Percentage
Grade I	234	78%
Grade II	30	10%
Grade III	32	10.6%
Grade IV	4	1.3%
Total	300	100%

TABLE 8: Distribution of patients among different Cormack-Lehane grades.

The sensitivity, specificity, PPV, and NPV of each preoperative test are mentioned in Table 9. P-values <0.05 were considered significant.

	Difficult	Easy	Total
Predicted difficult	True positive (a)	False positive (b)	(a + b)
Predicted easy	False negative (c)	True negative (d)	(c + d)
Total	(a + c)	(b + d)	(a + b + c + d)

TABLE 9: Standard formulas to compare different tests for data analysis.

Sensitivity = $(a)/(a + c)$

Specificity = $(d)/(b + d)$

Positive predictive value = $(a)/(a + b)$

Negative predictive value = $(d)/(c + d)$

Accuracy = $(a + d)/(a + b + c + d)$

The distribution of various predictive tests based on Cormack-Lehane laryngoscopy grading is demonstrated in Table 10.

Factors	Grade	Total number of cases	CL I and II	CL III and IV
MMT	Easy	250	242	8
	Difficult	50	22	28
TMD	Easy	255	249	6
	Difficult	45	15	30
IIG	Easy	230	204	26
	Difficult	70	60	10
ULBT	Easy	290	262	28
	Difficult	10	2	8
LEMON score	Easy	230	204	26
	Difficult	70	60	10
AOE	Easy	280	254	26
	Difficult	20	10	10

TABLE 10: Distribution of various predictive tests based on Cormack-Lehane laryngoscopy grading.

MMT = Modified Mallampati test; TMD = thyromental distance; IIG = inter-incisor gap; ULBT = upper lip bite test; AOE = atlantooccipital test; CL = Cormack-Lehane classification

A comparison of various predictive tests including sensitivity, specificity, PPV, NPV, and accuracy is demonstrated in Figure 1. The highest sensitivity, NPV, and accuracy were observed with the thyromental distance test. The upper lip bite test had the highest specificity. Inter-incisor gap and LEMON score had the lowest PPV.

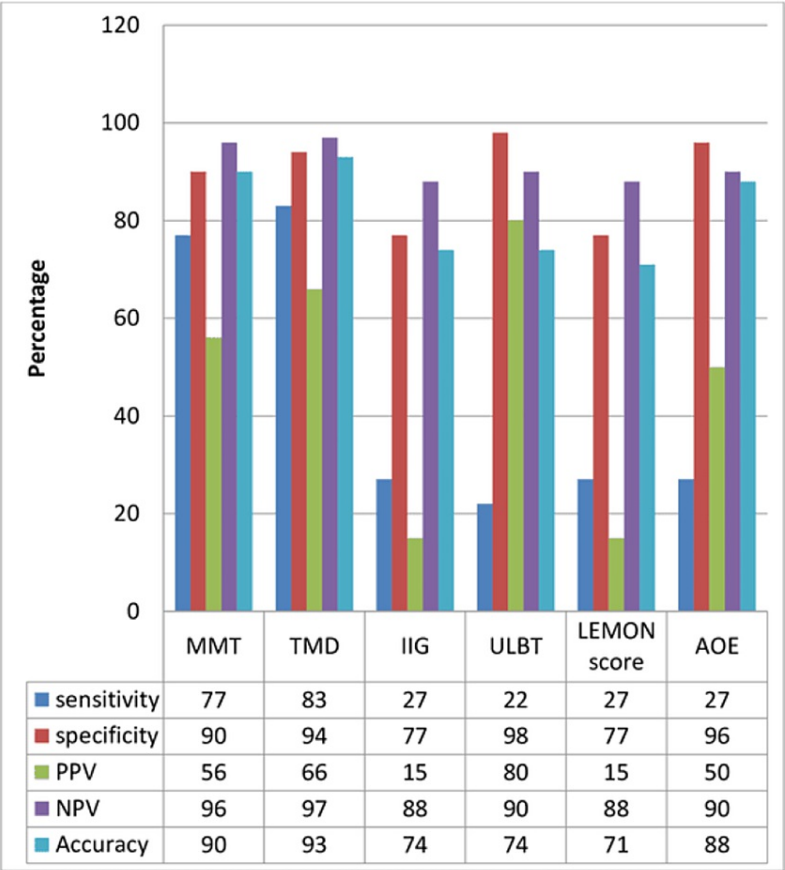


FIGURE 1: Comparison of various predictive tests.

MMT = Modified Mallampati test; TMD = thyromental distance; IIG = inter-incisor gap; ULBT = upper lip bite test; AOE = atlantooccipital test; PPV = positive predictive value; NPV = negative predictive value

Discussion

Unanticipated difficult laryngoscopic tracheal intubation remains a primary concern among anesthesiologists. Predicting difficult intubation can significantly reduce anesthesia-associated morbidity and mortality [1]. The recorded incidence of difficult laryngoscopy and tracheal intubation is 0.1-13% in general anesthesia [2], with intubation failure occurring in 0.05-0.35% of cases [3]. An ASA closed claims analysis of adverse outcomes associated with anesthesia showed that the most common cause of serious injury was inadequate ventilation and esophageal intubation. Difficult tracheal intubation tests to predict difficult intubation should have high sensitivity to identify most patients in whom intubation would be difficult. It should also have a high PPV so that only a few patients who can be actually intubated easily are subjected to the protocol for the management of difficult intubation [4]. The incidence of difficult intubation in our study was 12% and the failed intubation incidence was 1.3%. In our study, we found that the thyromental distance test has the highest sensitivity, NPV, and accuracy. The upper lip bite test had the highest specificity and PPV. The LEMON airway assessment test had the lowest specificity, PPV, NPV, and accuracy. Thyromental distance had the highest accuracy followed by the Modified Mallampatti test. Inter-incisor gap had low sensitivity and PPV, and the atlantooccipital extension test had low sensitivity.

The demographic characteristics in this study were comparable to the studies by Krobbauban et al. [5], Shing et al. [6], Leopold et al. [7], Suvarna et al. [8], Krobubban et al. [9], Khan et al. [10], and Huh et al. [11]. In contrast to the present study, we found high sensitivity, specificity, and NPV with low PPV for the Modified Mallampati test in the studies by Khan et al. [12], Safavi et al. [13], and Shah et al. [14]. The present study was comparable to the studies by Domi [15] and Bilgin et al. [16] on the Mallamapati score. In contrast to this study, the studies done by Domi [15] and Bilgin et al. [16] showed low sensitivity, low PPV, high specificity, and high NPV. In our study, thyromental distance showed the highest sensitivity and highest NPV among all the tests (Modified Mallampati test, inter-incisor gap, upper lip bite test, LEMON score, and atlantooccipital tests). We found very low sensitivity, low PPV, and acceptable specificity and NPV. we found high sensitivity, high specificity, acceptable PPV, and high NPV. This study was comparable with the study by Yildiz et al. [17] and Vasvani et al. [18].

This study was comparable with the study of Domi [15] and Yildiz et al. [17] which showed very low sensitivity and low PPV for inter-incisor gap test. In contrast to this study, the study by Vasvani et al. [18] showed acceptable sensitivity and PPV for the upper lip bite test. This test showed the highest specificity and PPV among all the tests included in this study.

Vasvani et al. [18] reported a significant correlation between old age, obesity, and high body mass index with the incidence of difficult intubation. They showed acceptable sensitivity, high specificity, high PPV, and high NPV. The upper lip bite test showed the least sensitivity among all tests. This study showed good specificity and NPV, which was comparable with another study that showed that an airway assessment score based on the LEMON method can successfully stratify the risk of intubation in the emergency department. This study was comparable with the study by Rao et al. [19] which showed low sensitivity and high specificity and NPV. However, in contrast to this study, it showed a low PPV.

Of all the tests, the LEMON airway assessment score had the least specificity, PPV, and NPV. Limited neck extension hinders the proper alignment of three axes making laryngoscopy and intubation difficult. It was measured during visual assessment in our study. The present study showed the least sensitivity and excellent specificity and NPV. In contrast to this study, Vasvani et al. [18] showed no significant correlation ($p > 0.77$).

Limitations

This study had a few limitations. Most laryngoscopies and intubations were performed by undergraduate students, which might have contributed to a higher number of difficult laryngoscopies. Airway management may not follow standard guidelines, or there may be interpersonal variations in the anesthetic management in terms of experience, preparation, and availability of equipment for intubation which may also have an impact on the number of difficult laryngoscopies and intubations. Finally, there is a lack of standardized cut-off values for the preoperative airway parameters. Different authors have used different cut-off values for preoperative tests which can impose some difficulties in comparing different findings.

Conclusions

The currently available screening tests for difficult intubation have only poor-to-moderate discriminative power when used alone. No single airway test can provide a high index of sensitivity and specificity for the prediction of a difficult airway. The upper lip bite test had the highest specificity and the thyromental distance test had the highest NPV for predicting difficult intubation. Every anesthesiologist must be trained and equipped to deal with, now much less common, unexpected failure to intubate.

Additional Information

Author Contributions

All authors have reviewed the final version to be published and agreed to be accountable for all aspects of the work.

Concept and design: Dhvani N. Trambadia, Payal Yadav, Sargunraj A

Acquisition, analysis, or interpretation of data: Dhvani N. Trambadia, Payal Yadav, Sargunraj A

Drafting of the manuscript: Dhvani N. Trambadia, Payal Yadav, Sargunraj A

Critical review of the manuscript for important intellectual content: Dhvani N. Trambadia, Payal Yadav, Sargunraj A

Supervision: Dhvani N. Trambadia

Disclosures

Human subjects: Consent was obtained or waived by all participants in this study. The Institutional Ethics Committee B.J. Medical College and Civil Hospital, Ahmedabad issued approval 76/2019. This is to clarify that the research project entitled "Preoperative assessment to predict difficult airway using multiple screening tests" submitted by Dr Dhvani Trambadia has been approved by the institutional ethical committee held on 3/4/2019. **Animal subjects:** All authors have confirmed that this study did not involve animal subjects or tissue. **Conflicts of interest:** In compliance with the ICMJE uniform disclosure form, all authors declare the following: **Payment/services info:** All authors have declared that no financial support was received from any organization for the submitted work. **Financial relationships:** All authors have declared that they have no financial relationships at present or within the previous three years with any organizations that might have an interest in the submitted work. **Other relationships:** All authors have declared that there are no other relationships or activities that could appear to have influenced the submitted work.

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